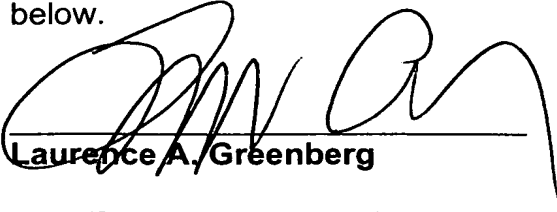




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CERTIFICATION OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

  
Laurence A. Greenberg

December 12, 2007  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No. : 10/659,694 Conf. No.: 7523  
Applicant : Bob Glickman  
Filed : September 10, 2003  
Art Unit : 3609  
Examiner : Chia-Yi Liu  
Title : Novel Securities Instrument Based on Dividend Ratios  
and Option Chains  
Docket No. : F-8178  
Customer No. : 24131

AMENDMENT

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Responsive to the Office Action dated August 15, 2007 kindly amend the above-identified application as follows:

- **Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.
- **Remarks/Arguments** begin on page 8 of this paper.
- An **Appendix** including six (6) documents submitted as evidence and as informational material is attached following page 23 of this paper.